

## **DEPARTMENT OF COMMERCE Patent and Trademark Office**

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| APPLICATION NO.                          | FILING DATE | FIRST NAMED INVENTOR |       |   | ·                                       | ATTORNEY DOCKET NO. |              |
|--|-------------|----------------------|-------|---|---|---------------------|--------------|
| 09/615,305                               | 07/13/00    | MEIER                |       |   | W                                       | BIOC                | URE 101      |
| <del></del>                              |             |                      |       | ٦ | EXAMINER                                |                     |              |
|  |             | HM12                 | 70925 | • | , |                     |              |
| COLLEN À BEA<br>BIOCURE INC              | RD ESQ      |                      |       |   | KISHOR<br>ART UNI                       |                     | PAPER NUMBER |
| SUITE 100<br>2975 GATEWAY<br>NORCROSS GA |             |                      |       |   | 1615<br>DATE MAILE                      | :D:                 | Ž,           |
|  |             |                      |       | • |   | 09/                 | 25/01        |

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trad marks

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# Office Action Summary

Application No. 09/615,305

Applicant(s)

Examiner

aminer Art Unit

Gollamudi S. Kishore, Ph.D 1615

Meier

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>30 days</u> MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.

| <ul> <li>If the period for reply specified above is less than thirty (30) days, a reply with be considered timely.</li> <li>If NO period for reply is specified above, the maximum statutory period will a</li> </ul>                       |   |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
| communication.  - Failure to reply within the set or extended period for reply will, by statute, cau  - Any reply received by the Office later than three months after the mailing date earned patent term adjustment. See 37 CFR 1.704(b). |   |  |  |  |  |  |  |
| Status  |   |  |  |  |  |  |  |
| 1) Responsive to communication(s) filed on  | ·   |  |  |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-   | final.  |  |  |  |  |  |  |
| 3) Since this application is in condition for allowance except for closed in accordance with the practice under <i>Ex parte Quayle</i>  | • •   |  |  |  |  |  |  |
| Disposition of Claims   |   |  |  |  |  |  |  |
| 4) X Claim(s) 1-28  | is/are pending in the application.                      |  |  |  |  |  |  |
| 4a) Of the above, claim(s)  | is/are withdrawn from consideration.                    |  |  |  |  |  |  |
| 5)  | is/are allowed.   |  |  |  |  |  |  |
| 6)  | is/are rejected.  |  |  |  |  |  |  |
| 7)  | is/are objected to.                                     |  |  |  |  |  |  |
| 8) 💢 Claims <u>1-28</u>   | are subject to restriction and/or election requirement. |  |  |  |  |  |  |
| Application Papers  |   |  |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |   |  |  |  |  |  |  |
| 10) The drawing(s) filed on is/are objected t   | o by the Examiner.                                      |  |  |  |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved.  |   |  |  |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |   |  |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |  |  |  |  |  |
| 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).   |   |  |  |  |  |  |  |
| a) □ All b) □ Some* c) □ None of:   |   |  |  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.   |   |  |  |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |   |  |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents application from the International Bureau (PCT Ru   | ule 17.2(a)).   |  |  |  |  |  |  |
| *See the attached detailed Office action for a list of the certified  14)□ Acknowledgement is made of a claim for domestic priority un  |   |  |  |  |  |  |  |
| 14) Acknowledgement is made of a claim for domestic priority un   | uoi 00 0.0.0. 3 110(6).                                 |  |  |  |  |  |  |
| Attachment(s)   |   |  |  |  |  |  |  |
| 15) Notice of References Cited (PTO-892)  18) Interview Summary (PTO-413) Paper No(s).  |   |  |  |  |  |  |  |
| 16) Notice of Draftsperson's Patent Drawing Review (PTO-948)  19) Notice of Informal Patent Application (PTO-152)   |   |  |  |  |  |  |  |
| 17) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 20) Other:   |   |  |  |  |  |  |  |

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#### **DETAILED ACTION**

### Election/Restriction

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-2, 6, 10, 12-15, 17, 19 and 27-28 are, drawn to vesicles, classified in class 424, subclass 450.
- II. Claims 3-5, 7-9, 11, 16, 18 and 20 are, drawn to nanocapsules, classified in class
  - 424, subclass 489 plus.
  - III. Claims 21-26 are, drawn to a method of making nanocapsules, classified in class 264, subclass 4.1.
- 2. The inventions are distinct, each from the other because of the following reasons:
- 3. Inventions I and II are related as mutually exclusive species in an intermediate-final product relationship. Distinctness is proven for claims in this relationship if the intermediate product is useful to make other than the final product (MPEP § 806.04(b), 3rd paragraph), and the species are patentably distinct (MPEP § 806.04(h)). In the instant case, the intermediate product is deemed to be useful as such without stabilization and the inventions are deemed patentably distinct since there is nothing on this record to show

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not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions anticipated by the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

- 4.Inventions II and III are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the method can be practiced with amphiphilic polymers or copolymers containing two different hydrophilic units.
- 5. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
- 6. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

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7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to *G.S. Kishore* whose telephone number is (703) 308-2440.

The examiner can normally be reached on Monday-Thursday from 6:30 A.M. to 4:00 P.M. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, T.K. Page, can be reached on (703)308-2927. The fax phone number for this Group is (703)305-3592.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [thurman.page@uspto.gov].

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

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Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703)308-1235.

Gollamudi S. Kishore, Ph. D

**Primary Examiner** 

**Group 1600** 

gsk

**September 24, 2001**